



FORM ADV PART 2B SUPERVISED PERSON BROCHURE

Item 1: Cover Page

Supervised Person:	Jackson "Maddox" Southard
CRD #:	7323540
Office:	20 Mason Drive #208 Chattanooga, TN 37415
Telephone:	+1(865) 296-2033
Email:	connect@graystreamcapital.com
Web:	www.graystreamcapital.com

This brochure supplement provides information about Maddox Southard and supplements the Graystream LLC brochure. You should have received a copy of that brochure. Please contact Maddox Southard if you did not receive the brochure or if you have any questions about the contents of this supplement.

**ADDITIONAL INFORMATION ABOUT JACKSON "MADDOX" SOUTHARD (CRD #7323540) IS
AVAILABLE ON THE SEC'S WEBSITE AT WWW.ADVISERINFO.SEC.GOV**



Principal Executive Officer – Jackson “Maddox” Southard

Year of birth: 1996

Item 2 - Educational Background and Business Experience

Educational Background:

- University of Tennessee, Knoxville; Bachelor of Arts in Economics; 2018
- Purdue Global University; Master of Science in Finance; 2021

Business Experience:

- Realty Executives; Affiliate Broker; 12/2021 - Present
- Graystream LLC; Chief Compliance Officer/Investment Advisor Representative; 01/2021-Present
- Graystream LLC; Managing Member; 11/2020-Present
- Purdue Global University; Student; 08/2019 – 08/2021
- American Youth Foundation; Youth Development Professional; 08/2018 – 06/2019
- University of Tennessee, Knoxville; Student; 08/2014 – 06/2018
- Maryville High School; Student; 08/2010 – 06/2014

Item 3 - Disciplinary Information

1. Maddox Southard has never been involved in a criminal or civil action in a domestic, foreign or military court of competent jurisdiction for which he:
 - a. Was convicted of, or pled guilty or nolo contendere (“no contest”) to (a) any felony; (b) misdemeanor that involved investments or an investment-related business, fraud, false statement or omissions, wrongful taking of property, bribery, perjury, counterfeiting, or extortion; or (c) a conspiracy to commit any of these offenses;
 - b. Is the named subject of a pending criminal proceeding that involves an investment-related business, fraud, false statements or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, extortion, or a conspiracy to commit any of these offenses;
 - c. Was found to have been involved in a violation of an investment-related statute or regulation; or
 - d. Was the subject of any order, judgement or decree permanently or temporarily enjoining, or otherwise limiting, him from engaging in any investment related activity, or from violating any investment-related statute, rule, or order.
2. Maddox Southard never had an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority in which he:
 - a. Was found to have caused an investment-related business to lose its authorization to do business; or the subject of an order by the agency or authority;
 - b. Was found to have been involved in a violation of an investment-related statute or regulation or was the subject of an order by the agency or authority
 - i. denying, suspending or revoking the authorization of the supervised person to act in an investment-related business; (b) barring or suspending his association with an investment-related business; (c) otherwise significantly limiting his investment-related activities; or (d) imposing a civil money penalty of more than \$2,500 on him.
3. Maddox Southard has never been the subject of a self-regulatory organization (SRO) proceeding in which he:



- a. Was found to have caused an investment-related business to lose its authorization to do business;
or
 - b. Was found to have been involved in a violation of the SRO's rules and was: (a) barred or suspended from membership or from association with other members, or was expelled from membership; (b) otherwise significantly limited from investment-related activities; or (c) fined more than \$2,500.
4. Maddox Southard has not been involved in any other hearing or formal adjudication in which a professional attainment, designation, or license of the supervised person was revoked or suspended because of a violation of rules relating to professional conduct.

Item 4 - Other Business Activities

Managing Member Maddox Southard is a real estate broker affiliated with Realty Executives. Approximately 25% of his time is spent on this activity. There is no conflict of interest as Clients of Graystream are not solicited for services.

Item 5 - Additional Compensation

Maddox Southard receives additional compensation in his capacity as a real estate broker but does not receive any performance-based fees.

Item 6 - Supervision

Since Maddox Southard is the chief compliance officer of Graystream he is solely responsible for all supervision and formulation and monitoring of investment advice offered to Clients. He will adhere to the policies and procedures as described in the firm's Compliance Manual. He can be reached at:

- **Telephone:** +1(865) 296-2033 or
- **Email:** maddoxsouthard@graystreamcapital.com